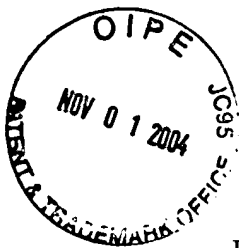


IFW



PATENT  
Attorney Docket No.: HARRIS-00201

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	Group Art Unit: 3739
David M. Harris, PhD.	)	Examiner:
Serial No.: 10/661,261	)	<b><u>TRANSMITTAL LETTER</u></b>
Filed: September 11, 2003	)	162 N. Wolfe Road
For: <b>PERIODONTAL LASER AND METHODS</b>	)	Sunnyvale, CA 94086
	)	(408) 530-9700
	)	Customer No. 28960

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Information Disclosure Statement, and Form PTO-1449, including a copy of the reference contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit any overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 10/28/2004

By: \_\_\_\_\_

James A. Gavney

Reg. No.: 45,687

Attorney for Applicant

CERTIFICATE OF MAILING (37 C.F.R. 1.91(c))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP

Date: 10/28/04



PATENT  
Attorney Docket No.: HARRIS-00201

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	Group Art Unit: 3739
	)	
David M. Harris, PhD.	)	Examiner:
	)	
Serial No.: 10/661,261	)	<u><b>CERTIFICATION FOR</b></u>
	)	<u><b>SUPPLEMENTAL INFORMATION</b></u>
Filed: September 11, 2003	)	<u><b>DISCLOSURE STATEMENT UNDER 37</b></u>
	)	<u><b>CFR § 1.97(e)</b></u>
For: <b>PERIDONTAL LASER AND</b>	)	
<b>METHODS</b>	)	162 N. Wolfe Road
	)	Sunnyvale, CA 94086
	)	(408) 530-9700

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

**Certification**

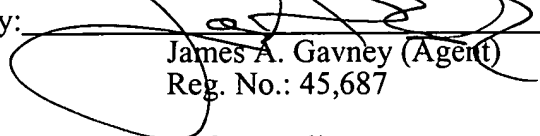
I, the person signing below, hereby certify that the printed publication contained in the enclosed supplemental information disclosure statement were cited within an Official Action mailed on October 20, 2004. **Accordingly, I hereby certify that no reference contained within this supplemental information disclosure statement was cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, no reference contained within this supplemental information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this supplemental information disclosure statement. 37 C.F.R. 1.97(e)(2)**

**Identification of Person Making Certification**

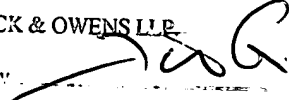
The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

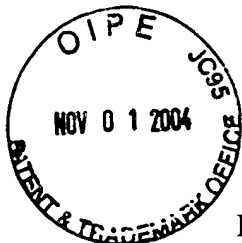
Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 10/28/2004

By:   
James A. Gavney (Agent)  
Reg. No.: 45,687  
Attorneys for Applicant

**CERTIFICATE OF MAILING (37 CFR § 1.8(a))**  
I hereby certify that this paper (along with any referred to as "attached" or "enclosed") is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP  
10/28/04 



PATENT  
Attorney Docket No.: HARRIS-00201

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	Group Art Unit: 3739
David M. Harris, PhD.	)	Examiner:
Serial No.: 10/661,261	)	<b><u>SUPPLEMENTAL INFORMATION</u></b>
Filed: September 11, 2003	)	<b><u>DISCLOSURE STATEMENT</u></b>
For: <b>PERIODONTAL LASER AND METHODS</b>	)	162 North Wolfe Road
	)	Sunnyvale, CA 94086
	)	(408) 530-9700

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The citation listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicant has become aware of the following printed publications which may be material to the examination of this application:

- U.S. Patent No.: 6,506,563 B1.

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 10/28/2004

By:

James A. Gavney (Agent)  
Reg. No.: 45,687

NOTICE OF MAILING (37 C.F.R. 1.97)  
I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Patent Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP

10/28/04

FORM PTO-1449  
(Modified)

NOV 01 2004

U.S. Department of Commerce  
Patent and Trademark Office

Attorney Docket No.: HARRIS-00201

Serial No.: 10/661,261

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use Several Sheets If Necessary)

Applicant: David M. Harris, PhD.

(37 CFR § 1.98(b))

Filing Date: 09/11/03

Group Art Unit: 3739

## U.S. PATENT DOCUMENTS

Examiner Initials		Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
	AA	6,506,563 B1	01/14/03	Ward et al.	435	6	12/22/99
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						

## FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

		Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation	
							Yes	No
	AK							
	AL							
	AM							
	AN							
	AO							

## OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

	AP	
	AQ	
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	AW	
	AX	
	AY	
	AZ	

Examiner:

Date Considered:

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.